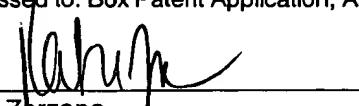
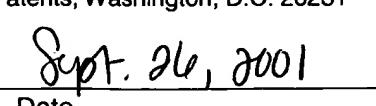


09/26/01

09-27-01 A

U.S. PTO  
104-2

<b>Utility Patent Application Transmittal</b>		Attorney Docket No.: E-5-2  First Inventor: David C. Hovda et al.  Title: Systems and Methods for Electrosurgical Treatment of Turbinates  Express Mail Label No.: ET156783200US
<b>APPLICATION ELEMENTS</b>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status.</p> <p>3. <input checked="" type="checkbox"/> Specification - Total Pages <u>44</u>            - Descriptive title of the invention            - Cross Reference to Related Applications            - Background of the Invention            - Brief Summary of the Invention            - Brief Description of the Drawings            - Detailed Description            - Claims            - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawings – Total Sheet <u>18</u></p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration – Total Pages <u>3</u>            a. <input type="checkbox"/> Newly executed (original or copy)            b. <input checked="" type="checkbox"/> Copy from a prior application            (37 CFR 1.63(d))            i. <input type="checkbox"/> Deletion of Inventors</p> <p>6. <input type="checkbox"/> Application Data Sheet</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission            a. <input type="checkbox"/> Computer Readable Form            b. <input type="checkbox"/> Specification Sequence Listing on            i. <input type="checkbox"/> CD-ROM or CD-R or            ii. <input type="checkbox"/> paper            c. <input type="checkbox"/> Statements verifying identity of above copies</p>		
<b>ACCOMPANYING APPLICATION PARTS</b>		
<p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet/documents)</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney</p> <p>11. <input type="checkbox"/> English Translation Document</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (PTO-1449)</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document</p> <p>16. <input type="checkbox"/> Request and Certification under 35 USC 122(b)(2)(B)(I)</p> <p>17. <input type="checkbox"/> Other _____</p>		
<p>18. <input checked="" type="checkbox"/> If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.  <input type="checkbox"/> Continuation    <input checked="" type="checkbox"/> Divisional    <input type="checkbox"/> Continuation-in-Part (CIP)</p> <p>of prior application No.: <u>09/480,880</u> filed <u>January 10, 2000</u></p> <p>Prior application information:      Examiner: <u>L. Cohen</u> Group Art Unit: <u>3739</u></p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5B is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>		
<p>19. Correspondence Address:  <b>Customer Number 021394</b></p>		
 John T. Raffle Reg. 38,585		 Date <u>9/25/01</u>
<b>CERTIFICATE OF MAILING</b>		
<p>I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated below, Express Mail Label No. <u>ET156783200US</u> and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231</p>		
 Katie Zarzana		 Date <u>Sept. 26, 2001</u>

J1042  
09/26/01

U.S. PTO

**FEE TRANSMITTAL  
for FY 2001**
**TOTAL AMOUNT OF PAYMENT \$355.00****METHOD OF PAYMENT**

1. [X] The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

**Deposit Account No. 50-0359**

ArthroCare Corporation

[X] Charge any additional fee required under 37 CFR 1.16 and 1.17  
[X] Applicant claims small entity status.

2. [ ] Payment Enclosed

**FEE CALCULATION****1. [X] BASIC FILING FEE**

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)
101 710	201 355				Utility filing fee	
106 320	206 160				Design filing fee	
107 490	207 245				Plant filing fee	
108 710	208 355				Reissue filing fee	
114 150	214 75				Provisional filing fee	

**SUBTOTAL (1) \$355****2. [ ] EXTRA CLAIM FEES**

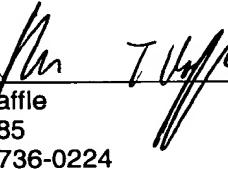
Total Claims	- 20** =	x	=	Fee from Extra Claims below	Fee Paid
Independent	- 3** =	x	=		
Claims					
Multiple Dependent					

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description
Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)
103 18	203 9				Claims in excess of 20
102 80	202 40				Independent claims in excess of 3
104 270	204 135				Multiple dep. Claim
109 80	209 40				**Reissue indp. over orig.
110 18	210 9				**Reissue clms over 20

**SUBTOTAL (2) \$**

\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY:

  
John T. Raffle  
Reg. 38,585  
Ph: (408) 736-0224

Application No.: unassigned

Filing Date: herewith

First Named Inventor: David C. Hovda et al.

Examiner Name:

Group Art Unit:

Attorney Docket No.: E-5-2

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)
105 130	205 65				Surcharge – late filing fee or oath	
127 50	227 25				Surcharge – late provisional filing fee or cover sheet	
139 130	139 130				Non-English specification	
147 2,520	147 2,520				Request for ex parte reexamination	
112 920*	112 920*				Requesting publication of SIR prior to Examiner Action	
113 1,840	113 1,840*				Requesting publication of SIR after Examiner Action	
115 110	215 55				Extension for reply within 1 <sup>st</sup> month	
116 390	216 195				Extension for reply within 2 <sup>nd</sup> month	
117 890	217 445				Extension for reply within 3 <sup>rd</sup> month	
118 1,390	218 695				Extension for reply within 4 <sup>th</sup> month	
128 1,890	228 945				Extension for reply within 5 <sup>th</sup> month	
119 310	219 155				Notice of Appeal	
120 310	220 155				Filing a brief in support of an appeal	
121 270	221 135				Request for oral hearing	
138 1,510	138 1,510				Petition to institute a public use proceeding	
140 110	240 55				Petition to revive - unavoidable	
141 1,240	241 620				Petition to revive – unintentional	
142 1,240	242 620				Utility issue fee (or reissue)	
143 440	243 220				Design issue fee	
144 600	244 300				Plant issue fee	
122 130	122 130				Petitions to the Commissioner	
123 50	123 50				Processing fee under 37 CFR1.17(q)	
126 180	126 180				Submission of IDS	
581 40	581 40				Recording assignment per property	
146 710	246 355				Filing a submission after final	
149 710	249 355				For each additional invention to be examined	
179 710	279 355				Request for Continued Examination	
169 900	169 900				Request for expedited examination of a design application	

Other fee:

**SUBTOTAL (3) \$** \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

Date

9/25/01